

Risk Reference Number	Project Area	Risk Description	Risk Rating			Mitigation / Action Plan / Current status	Risk Raised by	Risk Owner	Status	Date identified	Date last updated
			Likelihood (Probability)	Consequence (Severity)	Risk Score						
<b>Procurement</b>											
R001	Procurement	Challenge from service providers regarding the process, detail of the tender (inc numbers, hours, zones), Legal Challenge, Judicial review - split Service Provider challenges.	2	4	8	Engagement events and consultation. Robust rationale for tender approach.	GT	Project Board/MC/AB	Open	23-Jun	30-Sep
R002	Procurement	Unable to issue tender docs as Cabinet not approved request to go out to tender.	2	4	8	Vision and Delivery Document to support Cabinet Member Report. Pre briefings to Cabinet Member, Management Board and Mike Lennox.	PH	Project Board	Open	23-Jun	30-Sep
R003	Procurement	Reduced procurement timelines as Cabinet Report approval moved to October.	4	3	12	Phased approach to commencement of new contract.	JB	AP	Closed	23-Jun	30-Jun
R004	Procurement	TUPE impact.	2	3	6	Advice from Legal (CW), request TUPE information from current providers (and off spot?). MC to circulate standard template to existing providers.	LL	Project Board	Open	23-Jun	31-Aug
<b>Systems</b>											
R005	Overall	Not engaging with current or known Service Provider because we hold out of date contact details.	1	1	1	Data cleansing the contacts register.	JB	AP	Open	23-Jun	30-Sep

R006	Overall	Uncertainty as to implication for charging clients for care due to unconfirmed models of care, eg incentives, differing rates etc	3	3	9	As soon as model is confirmed engagement with financial assessment team.	SB	Project Board	Open	08-Aug	30-Sep
R007	Overall	Inaccurate information for service users, locations and hours would leave the council at risk of specifying a service which doesn't meet the real needs.	3	3	9	Ongoing data cleansing, refresh of data in August to ensure that data and resultant zones remain relatively consistent.	GT/PH	PH	Open	23-Jun	30-Sep
<b>Transition/Mobilisation</b>											
R008	Overall	Full implications of mobilisation not yet known.	3	4	12	Mobilisation work stream to commence. Need to ensure a balanced approach.	AB	Project Board	Open	08-Aug	
R009	Overall	Full implications of mobilisation for service provider not yet known which may have an impact on service user and their safety.	3	4	12	Raised throughout consultation and addressed in tender pack as part of quality evaluation.	AP	Project Board	Open	23-Jun	31-Aug
<b>Consultation/Market</b>											
R010	Overall	Lack of "buy in" /support from service providers re zoning/financial options meaning proposed model is not viable.	3	3	9	1-2-1s and consultation events with service providers, ongoing communications.	JB	AB	Open	23-Jun	30-Sep
R011	Overall	Chosen model doesn't prove to be sustainable for service providers, resulting in provider failure and/or increased handbacks.	2	4	8	1-2-1 events, engagement events and consultation, ongoing contract management	GT	Project Board	Open	23-Jun	31-Aug
R012	Consultation	Formal consultations identifies unexpected results meaning proposed models are revisited/reviewed.	2	3	6	Responses to consultation reviewed on a weekly basis. Delivery Group and Project Board updated at regular meetings.	GT	CP	Closed	23-Jun	30-Sep
R013	Consultation	Tight timescales from consultation period closure to Cabinet Member Report and associated documents being circulated through Governance.	2	4	8	Ongoing analysis of consultation responses and feedback into VDD and other documents.	JB	AP	Closed	23-Jun	31-Aug
<b>Finance</b>											
R014	Overall	Affordable ceiling hourly rate is not enough for bidders.	3	4	12	Fully consider using UKHCA model, account for actual travel times.	AB	GT/AB	Open	16-Aug	30-Sep
R015	Overall	Chosen model doesn't prove to be sustainable for HC financial position.	2	4	8	Ensuring risks mitigated in the MTFS process, forecasting and analysis of ongoing costs.	GT	GT	Open	23-Jun	31-Aug
<b>Staff</b>											
R016	Overall	Restructure of Brokerage to Care Offer Team.	2	3	6	Appropriate change management and guidance from AD	SC	SV	Open	17-Aug	



Updates/notes
on going engagement/updates to service providers.
documents circulated/feedback obtained from DLT/Management Board and Mgnificent 7.

zones reviewed.
Zones reviewed.
37 1-2-1s undertaken with service providers. 17 responses to service provider consultation questionnaire.
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finances/figures reviewed

